



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3193.01US03

Unger

Confirmation No.: 2748

Application No.: 10/652,814

Examiner: Unknown

Filed: August 29, 2003

Group Art Unit: 1636

For: NANOCAPSULE ENCAPSULATION SYSTEM AND METHOD

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and in addition to information disclosed in Applicant's Information Disclosure Statement filed March 12, 20004, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This information is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

Respectfully submitted,

Curtis B. Herbert, Ph.D., Esq.  
Registration No. 45,443

Application No. 10/652,814

Customer No. 24113  
Patterson, Thunte, Skaar & Christensen, P.A.  
4800 IDS Center  
80 South 8th Street  
Minneapolis, Minnesota 55402-2100  
Telephone: (612) 349-3008

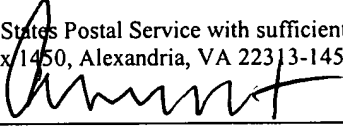
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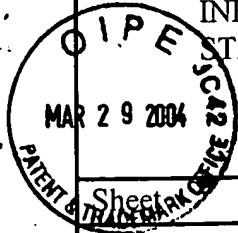
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Curtis B. Herbert, Ph.D., Esq.



Substitute for form 1449/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/652,814
Filing Date	August 29, 2003
First Named Inventor	Unger
Art Unit	1636
Examiner Name	Unknown
Attorney Docket Number	3193.01US03

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		AL-MOUSA, ET. AL, (1999) J Pharm Pharmacol 51(supplement):178, "Evidence for the role of caveolae in gene delivery."	
		LEWIN ET. AL, (2000) Nature 18:410-414, "Tat peptide-derivatized magnetic nanoparticles allow in vivo tracking and recovery of progenitor cells."	
		MATVEEV ET. AL, (2001) Adv Drug Deliv Rev 49:237-250, "The role of caveolae and caveolin in vesicle-dependent and vesicle-independent trafficking."	
		WOLFF ET. AL, (1992) J Cell Sci 103:1249-59, "Expression of naked plasmids by cultured myotubes and entry of plasmids into T tubules and caveolae of mammalian skeletal muscle."	

EXAMINER  
SIGNATURE

DATE  
CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

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